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On July 22, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: *Jo Dallara*



PATENT  
Attorney Docket No.: 015280-377000US  
Client Reference No.: E-286-98/0

*Handwritten notes:*  
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7/29/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Douglas E. Brenneman, Catherine  
Y. Spong, Illana Gozes, Merav  
Bassan, Rachel Zamostiano

Application No.: 09/267,511

Filed: March 12, 1999

For: PREVENTION OF FETAL  
ALCOHOL SYNDROME AND  
NEURONAL CELL DEATH WITH  
ADNF POLYPEPTIDES

Examiner: Chernyshev, Olga N.

Art Unit: 1646

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

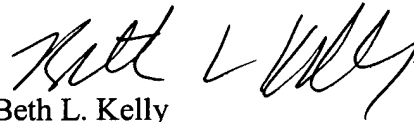
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Beth L. Kelly  
Reg. No. 51,868

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Complete if Known

Application Number

09/267,511

Filing Date

March 12, 1999

First Named Inventor

Art Unit

1646

Examiner Name

Chernyshev, Olga N.

Attorney Docket Number

015280-377000US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
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	AM	US-			
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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of

**Complete if Known**

Application Number	09/267,511
Filing Date	March 12, 1999
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Art Unit	1646
Examiner Name	Chernyshev, Olga N.
Attorney Docket Number	015280-377000US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	Spong <i>et al.</i> , Prevention of fetal demise and growth restriction in a mouse model of fetal alcohol syndrome. <i>J Pharmacol Exp Ther.</i> ;297(2):774-9 (2001).	
	BD	Wilkemeyer <i>et al.</i> , Differential effects of ethanol antagonism and neuroprotection in peptide fragment NAPVSIPQ prevention of ethanol-induced developmental toxicity <i>PNAS</i> 100: 8543-8548 (2003).	
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